

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Akira YAMAMOTO

Serial No.: Not yet assigned

Filed: March 17, 2004

For: DIRECT ACCESS STORAGE SYSTEM HAVING PLURAL  
INTERFACES WHICH PERMIT RECEIPT OF BLOCK AND FILE  
I/O REQUESTS

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

**MS DD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 17, 2004

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicant(s) are submitting herewith a copy of Form PTO-1449 which list documents cited in parent application(s) Serial No. 09/829,470, filed April 9, 2001.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (274.43266CX2) please credit any excess fees to such deposit account.

Respectfully submitted,



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Carl I. Brundidge  
Registration No. 29,621  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

CIB/jdc  
(703) 312-6600

<b>FORM PTO-1449</b> U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.  <b>274.43266CX2</b>	SERIAL NO.  <b>Not yet assigned</b>
	APPLICANT <b>Akira YAMAMOTO</b>	
	FILING DATE <b>March 17, 2004</b>	GROUP <b>2182</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 6 0 6 6 9 0	8/03	Padovano	711	148	
	6 5 7 4 6 6 7	6/03	Blumenau et al	709	229	
	6 3 0 1 6 0 5	10/01	Napolitano et al	709	201	
	6 2 3 0 2 0 0	5/01	Forecast et al	709	226	
	5 8 3 8 9 5 0	11/98	Young et al	703	21	
	5 8 2 8 8 2 3	10/98	Byers et al	714	24	
	5 6 8 0 5 3 7	10/97	Byers et al	714	5	
2 0 0 2	0 1 5 6 9 8 4	10/02	Padovano	711	148	
2 0 0 1	0 0 3 7 4 0 6	11/01	Philbrick et al	709	250	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**FORM PTO-1449** U.S. Department of  
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	5 9 2 0 8 9 3	7/99	Nakayama et al			
	5 9 8 3 3 1 7	11/99	Kanda et al			
	6 0 0 0 0 2 0	12/99	Chin et al			
	6 1 1 5 7 9 7	9/00	Kanda et al			
	6 1 4 5 0 0 6	11/00	Vishlitsky et al			
	6 1 9 5 7 0 3	2/01	Blumenau et al			
	6 2 3 3 6 6 0	5/01	Vishlitzky			
	6 3 0 4 9 4 0	10/01	Beardsley			
	6 3 2 4 5 8 1	11/01	Xu et al			
	6 4 2 5 0 5 1	7/02	Burton et al			
	6 4 3 0 6 6 0	8/02	Kemp et al			

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	6 4 4 6 1 4 1	9/02	Nolan et al			
	6 5 0 5 2 7 3	1/03	Taroda et al			
	6 5 1 6 3 5 1	2/03	Borr			
	6 5 4 9 9 8 8	4/03	Gertner			
	6 5 9 8 1 2 9	7/03	Kanda et al			
2 0 0 2	0 0 9 5 5 4 7	7/02	Watanabe et al			
2 0 0 2	0 1 6 1 8 5 5	10/02	Manczak et al			
2 0 0 3	0 0 4 6 3 5 7	3/03	Doyle et al			
2 0 0 3	0 1 1 0 2 3 7	6/03	Kitamura et al			
2 0 0 3	0 1 2 0 7 4 3	6/03	Coatney et al			
2 0 0 3	0 1 2 6 5 2 3	7/03	Corbett et al			

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